



Form PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 31716US1	SERIAL NO. 09/904,993
INFORMATION DISCLOSURE CITATION BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT: Patricia Preikschat, et al.	
		FILING DATE: July 13, 2001	GROUP ART UNIT: 1775

U.S. PATENT DOCUMENTS							
Examiner Initial		Document No.	Date	Name	Class	Subclass	Filing Date If Appropriate
RRK	A	6,375,726	4/2002	Matzdorf et al.	148	247	
	B						
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GROUP 1700

FOREIGN PATENT DOCUMENTS							
		Document No.	Date	Country	Class	Subclass	Translation
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OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)	
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Examiner: Robert R. Koehler	Date Considered January 15, 2004
*Examiner: Initial if reference considered, regardless of whether citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant	

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PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
31716US1SERIAL NO.
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(USE SEVERAL SHEETS IF NECESSARY)APPLICANT:
Patricia Preikschat, et al.FILING DATE:
July 13, 2001GROUP ART
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U.S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass	Filing Date If Appropriate
RRK	A	4,298,404	11/1981	Greene	148	247	
RRK	B	4,444,601	04/1984	Greene	428	658	
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FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Subclass	Translation
J						

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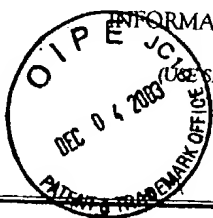
RRK	K	Arthur Schaier, First Request For Reexamination of U.S. Patent No. 6,287,704 (granted as re-exam serial No. 90/006,211), Request filed in U.S. Patent Office on January 25, 2002, pages 1-118.
RRK	L	Arthur Schaier, Submission of Prior Art Under 37 CFR 1.501 filed in re-exam proceeding serial No. 90/006,211, filed in U.S. Patent Office on March 11, 2002, pages 1-4.
RRK	M	Patricia Preikschat, Declaration of Patricia Preikschat Under Rule 1.132 filed in re-exam proceeding serial No. 90/006,211, dated December 4, 2002, pages 1-10.
RRK	N	Arthur Schaier, Second Request For Reexamination of U.S. Patent No. 6,287,704 (granted as re-exam serial No. 90/006,672), filed in U.S. Patent Office on June 20, 2003, pages 1-25.
RRK	O	Sudha Damji, Declaration of Sudha Damji and attached Exhibit A, dated June 18, 2003, (submitted with the second request for reexamination of U.S. Patent No. 6,287,704), filed in re-exam proceeding serial No. 90/006,672 on June 20, 2003, pages 1-33.

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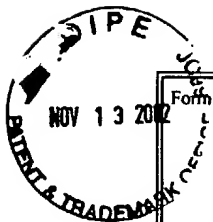
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Examiner Initial		Document No.	Date	Name	Class	Subclass	Filing Date If Appropriate
RRK	A	4,169,741	10/1979	Lampatzer et al.	—	—	
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FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Subclass	Translation
RRK	Q	61-587	6/1986 1/1986	JAPAN	—	—	Yes
RRK	O	50-1934	10/1975 1/1975	JAPAN	—	—	Yes
	P						

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

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Examiner:

Robert R. Koehler

Date Considered

December 17, 2002

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ATTACH
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INFORMATION DISCLOSURE CITATION BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY) Page 1 of 2				APPLICANT: Patricia Preikschat et al.			
				FILING DATE: July 13, 2001		GROUP ART UNIT: 1775	

Examiner Initial		Document No.	Date	Name	Class	Subclass	Filing Date If Appropriate
RRK	A	3,787,326	1/1974	Deyrup	—	—	
RRK	B	3,900,689	8/1975	Deyrup	—	—	
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		Document No.	Date	Country	Class	Subclass	Translation
RRK	D	0 337 411 B1	10/1989	EPO	—	—	English Claims
RRK	E	2 144 773 A	3/1985	GB	—	—	English Text
RRK	F	32 13 384 A1	12/1982	DE	—	—	no
	N						

RRK	G	Dr.-Ing. Uka Handreg and Dipl.-Ing. Stefen Piepenstock, <i>PRÜFBERICHT - UNTERSUCHUNGEN AN BLAUCHROMATIERUNGEN</i> , January 8, 2002, pp. 1-4.					
RRK	H	Dipl.-Ing. Britta Schlüter, <i>PRÜFBERICHT - UNTERSUCHUNGEN AN BLAUCHROMATIERUNGEN</i> , June 19, 2002, pp. 1-3.					
RRK	I	Von W. Stechelbach, <i>CHROMATIERUNGSTECHNIK AN VERZINKTEN OBERFLÄCHEN</i> , Galvanotechnik, D-7968 Saulgau, 82 (1991) Nr. 8, pp. 2669-2672, (no month given).					
RRK	J	<i>ZUSAMMENSTELLUNG DER EXPERIMENTELLEN DATEN</i> , July 17, 2002, 9 pages.					
RRK	K	SurTec® 660 <i>DREIWEITIGE BLAUCHROMATIERUNG</i> , SurTec GmbH, February 1994.					
RRK	L	SurTec® 662 <i>DREIWEITIGE TEMPERBESTÄNDIGE BLAUCHROMATIERUNG</i> , SurTec GmbH, February 1994.					
Examiner: Robert R. Koehler				Date Considered December 17, 2002			

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INFORMATION DISCLOSURE CITATION BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY) Page 2 of 2				APPLICANT: Patricia Preikschat et al.			
				FILING DATE: July 13, 2001		GROUP ART UNIT: 1775	
EXAMINER'S DISPOSITION							
Examiner Initial		Document No.	Date	Name	Class	Subclass	Filing Date If Appropriate
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	B						
	C						
	D						
EXAMINER'S DISPOSITION							
		Document No.	Date	Country	Class	Subclass	Translation
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EXAMINER'S DISPOSITION							
RRK.	H	SurTec® 664 DREIWERTEIGE, TEMPERBESTÄNDIGE BLAUCHROMATIERUNG MIT EISENINHIBITOR, SurTec GmbH, February 1994.					
RRK.	I	Optische Defizite der Surtec Beispiele 2 und 3 aus dem Patent EP 0 907 762, at least as early as July 17, 2002.					
RRK.	J	Chemisch erzeugte Schutzschichten, pp. 470-473, at least as early as July 22, 2002.					
RRK.	K	COVENTYA, INTERNAL NOTE, Subject: Opposition au brevet EP 907762, July 1, 2002, 4 pages.					
RRK.	L	Peter Hülser, et al., CHROMITIERUNG, Mo Metalloberfläche Beschichten von Metall Und Kunststoff, October 1996, 5 pages.					
	M						
	N						
Examiner: Robert R. Zecher				Date Considered December 17, 2002			
*Examiner: Initial if reference considered, regardless of whether citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							



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**INFORMATION DISCLOSURE
CITATION FORM FOR
PROTEST UNDER 37 C.F.R.
1.291(a)
(FORM PTO-1449)**

Packet No.: 2156-417

Serial No.: 09/904,993

Applicant: Preikschat, et al.

Examiner: Robert Koehler

Filing Date: July 13, 2001

Art Unit: 1775

U.S. PATENTS

Initials	Patent Number	Issue Date	Name	Class	Sub-class	Filing date

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FOREIGN PATENT DOCUMENTS

Initials	Document Number	Date	Country	Name	Translation? Yes/No/n/a
B.R.K.	0 034 040 A1	09/19/81	Europe	BNF Metals Technology Centre	N/A

OTHER DOCUMENTS (Title, Author, Date, Pages, Etc., if known)

Examiner's Signature: *Robert R. Koehler*Date Considered: *April 26 2002*

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**INFORMATION DISCLOSURE
CITATION FORM FOR
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**1.291(a)
(FORM PTO-1449)
(Substitute)**

Docket No.: 2156-417

Page No.: 1 of: 1
Serial No.: 09/904,993

Applicant: Preikschat, et al.

Examiner: Robert Koehler

Filing Date: July 13, 2001

Art Unit: 1775

U.S. PATENTS

Initials	Patent Number	Issue Date	Name	Class	Sub-class	Filing date
RRK	6,287,704	9/11/01	Preikschat, et al.			
RRK	5,368,655	11/29/94	Klos			
RRK	5,407,749	4/18/95	Bishop			
RRK	5,393,354	2/28/95	Bishop			
RRK	4,349,392	9/14/82	Huvar			
RRK	4,578,122	3/25/86	Crotty			
RRK	3,843,430	10/22/74	Kinder			

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Initials	Document Number	Date	Country	Name	Translation? Yes/No/n/a
RRK	GB-2,097,024-A	10/27/82	Great Britain	DaFonte, et al.	N/A

OTHER DOCUMENTS (Title, Author, Date, Pages, Etc., if known)

RRK	"Chromate Coating From Trivalent Solution," Products Finishing, August, 1983, pp. 64-65.
RRK	Barnes, et al., "Non-Chromate Passivation Treatments For Zinc," Transactions of the Institute of Metal Finishing, Summer, 1982, pp. 45-48, vol. 60.
RRK	Klos, "Clear Chromates: Theory and Practice," Products Finishing, June, 1988, pp. 72-78.

Examiner's Signature: *Robert R. Koehler*

Date Considered: *April 21, 2002*

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Page 1 of 4

APPLICANT:

Patricia Preikschat et al.

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Examiner Initial		Document No.	Date	Name	Class	Subclass	Filing Date If Appropriate
RRK	A	2,035,380	3/1936	E. J. Wilhelm			
RRK	B	2,035,385	3/1936	L. O. McLean			
RRK	C	2,106,904	2/1938	E. J. Wilhelm			
RRK	D	2,559,878	7/1951	D. M. Johnson			
RRK	E	2,563,431	8/1951	F. P. Spruance, Jr.			
RRK	F	2,620,265	12/1952	F. H. Hesch			
RRK	G	2,904,413	9/1959	K. R. Hampel			
RRK	H	3,072,516	1/1963	K. P. Bellinger et al.			
RRK	I	3,382,111	5/1968	S. Tongyai et al.			
RRK	J	Re. 26,130	12/1966	K. P. Bellinger et al.			
RRK	K	3,477,882	11/1969	W. J. T. Coulman			
RRK	L	3,553,034	1/1971	G. J. Harvey et al.			
RRK	M	3,556,868	1/1971	V. F. Ziemba			
RRK	N	3,843,430	10/1974	Kinder	252	79.3	
RRK	P	3,857,739	12/1974	M. W. Prust et al.			

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RRK	Q	21 66737 A1	6/1975	DE	—	—	—
RRK	R	14 61 244	1/1977	GB	—	—	—

OTHER REFERENCES (including Author, Title, Date, Period, Pages, Etc.)

RRK	S	"Some Metal Derivatives of Azo and Azomethine Dyes", Journal of the American Chemical Society, Vol. 74, No. 14, July 20, 1952, Pages 3461 and 3509-3512					
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Examiner Initial		Document No.	Date	Name	Class	Subclass	Filing Date if Appropriate
ROK	A	3932,198	11/1976	G. Schneider			
ROK	B	4,126,490	11/1978	K. Aoki			
ROK	C	4,141,758	2/1979	W. C. Glassman et al.			
ROK	D	4,171,231	10/1979	C. V. Bishop et al.			
ROK	E	4,263,059	4/1981	D. J. Guhde et al.			
ROK	F	4,349,392	9/1982	R. J. Huvar			
ROK	G	4,359,345	11/1982	B. DaFonte, Jr.			
ROK	H	4,359,346	11/1982	B. DaFonte, Jr.			
ROK	I	4,359,347	11/1982	B. DaFonte, Jr.			
ROK	J	4,359,348	11/1982	D. E. Crotty			
ROK	K	4,367,099	1/1983	R. J. Lash et al.			
ROK	L	4,384,902	5/1983	D. E. Grotty et al.			
ROK	M	4,539,348	9/1985	C. Gajria et al.			
ROK	N	4,578,122	3/1986	D. E. Crotty			
ROK	P	4,705,576	11/1987	K. P. Klos et al.			

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ROK	Q	29 00 099 B2	7/1981	DE	—	—	—
ROK	R	30 38 699 A1	7/1981	DE	—	—	—

OTHER REFERENCES (including Author, Title, Date, Page, and Pages, etc.)

ROK	S	Database WPI, Section Ch, Week 9402, Derwent Publications Ltd., London, GB; Class E31, AN 94-014365, XP002038536 & SU 1 781 316 A (Magn Mining Metal Inst), 15 December 1992, siehe Zusammenfassung.
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RRK	A	4,749,418	6/1988	K. Saeki et al.			
RRK	B	4,801,337	1/1989	Higgins	148	267	
RRK	C	5,091,023	2/1992	K. Saeki et al.			
RRK	D	5,141,575	8/1992	N. Yoshitake et al.			
RRK	E	5,230,750	7/1993	Y. Shindou et al.			
RRK	F	5,368,655	11/1994	Klos	148	250	
RRK	G	5,393,354	2/1995	C. V. Bishop			
RRK	H	5,407,749	4/1995	C. V. Bishop			
RRK	I	5,415,702	5/1995	C. B. Bishop et al.			
RRK	J	5,807,442	9/1998	B. H. Goodreau			
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RRK	N	34 23 990 A1	1/1985	DE			
RRK	P	0 337 411 A2	10/1989	EPO			
RRK	Q	32 13 384 C2	1/1991	DE			
RRK	R	1781316 A1	12/1992	RUSSIA			
RRK	S	41 35 524 A1	4/1993	DE			

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RRK	T	PCT International Search Report for PCT International Application PCT/DE97/00800, September 5, 1997.
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